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(Pages : 2)

Name.....

Reg. No.....

**FIRST SEMESTER (CUFYUGP) DEGREE EXAMINATION  
NOVEMBER 2025**

Accounting and Finance/Commerce

COP 1FM 105 (2) / COM 1FM 105 (2)—STOCK MARKET FUNDAMENTALS

(2024 Admission onwards)

Time : One Hour and a Half

Maximum : 50 Marks

*Answers should be written in English only.***Section A***Answer all questions.**Each question carries 2 marks.**Ceiling 16 marks.*

1. What is a secondary market ?
2. What is a Demat account ?
3. What is the role of a Trading account in stock market investments ?
4. Define ASBA.
5. What is allotment ?
6. What do you mean by Prospectus ?
7. What is Technical analysis ?
8. What is SIP?
9. What is a Fund Fact Sheet ?
10. Define SWP in mutual funds.

**Section B***Answer all questions.**Each question carries 6 marks.**Ceiling 24 marks.*

11. What is intra-day trading, and how does it differ from swing trading ?
12. Explain the different modes of pricing in an Initial Public Offer (IPO).

**Turn over**

13. Discuss the various ratios used in company analysis.
14. Write a note on portfolio diversification.
15. Describe the process and significance of redeeming mutual fund units.

### Section C

*Answer any **one** question.  
The question carries 10 marks.*

16. What is a mutual fund ? Explain in detail the various types of mutual funds.
17. Explain in detail the various methods of floating new issues.

(1 × 10 = 10 marks)